



Docket: 71626

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventive Application of:

Shelton et al.

Serial No.: 10/796,235

Group Art Unit: 1761

Filed: March 09, 2004

Examiner:

For: LOW MOLECULAR WEIGHT CARBOXYALKYLCELLULOSE ESTERS
AND THEIR USE AS LOW VISCOSITY BINDERS AND MODIFIERS IN
COATING COMPOSITIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

An International Search Report in connection with a related PCT application has been received. A copy is enclosed.

Applicants elect the option to pay the fee set forth in 37 CFR 1.17(p), for submission of an Information Disclosure Statement under 1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by

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this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

Respectfully submitted,

Bernard J. Graves, Jr.
Bernard J. Graves, Jr.
Registration No. 33,239

September 30, 2004
Date

Eastman Chemical Company
P.O. Box 511
Kingsport, Tennessee 37662
Phone: (423) 229-3634
FAX: (423) 229-1239

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cathy L. Adkins
Cathy L. Adkins

9/30/04
Date



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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Attorney Docket Number | 71626-03 |
| | | | | First Named Inventor | Shelton et al. |
| | | | | COMPLETE IF KNOWN | |
| | | | | Application Number | 10/796,235 |
| | | | | Filing Date | March 09, 2004 |
| | | | | Group Art Unit | 1761 |
| Sheet | 1 | of | 1 | Examiner Name | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|----------------------|--------------------------------------|-------------------------------------------------|--------------------------------------------------------|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | | Number | Kind Code ² (if known) | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|-------------------------------------------------|--------------------------------------------------------|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ⁶ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | | WO | 96/20961 | | Eastman Chemical Company | 07-11-1996 | |
| | | GB | 1 122 006 | | Shinetsu Chem Ind Co. | 07-31-1968 | |
| | | WO | 01/35719 | | Eastman Chemical Company | 05-25-2001 | |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.